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VALERIE G. DUGAN  
BRIAN M. DUGAN, PH.D.

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PATENTS, TRADEMARKS & COPYRIGHTS  
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**FACSIMILE COVER SHEET**

Date: November 8, 2006

PLEASE DELIVER THE ATTACHED MESSAGE TO:

Examiner: Charles R. Kasenge Phone No.: (571) 272-3743  
Fax No.: (571) 273-8300

From: Brian M. Dugan, Registration No. 41,720

Our File No.: Docket No. 8201/Y02/SYNX/SYNX/JW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Michael R. Rice et al.  
Serial No. : 10/764,620  
Filed : January 26, 2004  
For : SYSTEMS AND METHODS FOR TRANSFERRING  
 SMALL LOT SIZE SUBSTRATE CARRIERS  
 BETWEEN PROCESSING TOOLS  
Examiner : Charles R. Kasenge  
Group Art Unit : 2125

TOTAL NUMBER OF PAGES INCLUDING THIS PAGE: 5

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No. 0596 P. 2

Docket No. 8201/Y02/SYNX/SYNX/JW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Re: Inventor(s): Michael R. Rice, Eric A. Englhardt, Vinay Shah, Martin R. Elliott, Robert B. Lowrance and Jeffrey C. Hudgens  
Title: SYSTEMS AND METHODS FOR TRANSFERRING SMALL LOT SIZE SUBSTRATE CARRIERS BETWEEN PROCESSING TOOLS  
Serial No.: 10/764,620  
Filed: January 26, 2004  
Examiner: Charles R. Kasenga  
Group Art Unit: 2125

Transmitted herewith is:

PTO Form 1449; and  
 Information Disclosure Statement with two cited references.

FEE CALCULATION					
Fee Items	Claims Filed	Included With Basic Fee	Extra Claims	Fee Rate	Total
Total Claims	N/A	- 20 =	-0-	X \$50.00	\$0.00
Independent Claims	N/A	- 3 =	-0-	X \$200.00	\$0.00
Basic Filing Fee (\$300.00), Utility Search Fee (\$500.00), Utility Examination Fee (\$200.00)				\$1000.00	\$0.00
<b>TOTAL FEES</b>					<b>PAID</b>

The Commissioner is hereby authorized to charge \$0.00 to Deposit Account No. 04-1696.

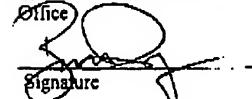
The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 04-1696. A duplicate copy of this transmittal is enclosed.

Please address all future correspondence to: Customer # 41161  
Dugan & Dugan, PC  
55 South Broadway  
Tarrytown, NY 10591

Certificate of Mailing/Transmission (37 C.F.R. Section 1.8(a))  
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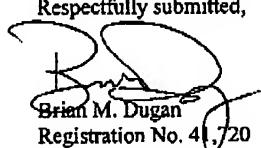
Office

  
Signature

November 8, 2006  
Date

Brian M. Dugan  
(name of person certifying)

Respectfully submitted,

  
Brian M. Dugan  
Registration No. 41,720  
(914) 332-9081

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PATENTS  
8201/Y02/SYNX/SYNX/JW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Michael R. Rice et al.  
Serial No. : 10/764,620  
Filed : January 26, 2004  
For : SYSTEMS AND METHODS FOR TRANSFERRING SMALL  
LOT SIZE SUBSTRATE CARRIERS BETWEEN  
PROCESSING TOOLS  
Group Art Unit : 2125  
Customer No. : 41161  
Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

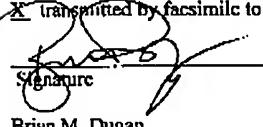
INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97,  
applicants wish to call the attention of the Examiner to the  
following references:

U.S. Patent No. 6,579,052 B1, Bonora et al.

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November 8, 2006  
Date

Brian M. Dugan  
(name of person certifying)

U.S. Patent No. 5,980,183, Fosnight

These references are also listed on the  
accompanying Information Disclosure Statement (Form PTO-1449).

Consideration of the foregoing in relation to this  
patent application is respectfully requested.

Respectfully Submitted,



Brian M. Dugan, Esq.  
Registration No. 41,720  
Dugan & Dugan, PC  
Attorneys for Applicants  
(914) 332-9081

Dated: November 8, 2006  
Tarrytown, New York

Sheet 1 of 1 sheets

U.S. Department of Commerce, Patent and Trademark Office			Docket No.: 8201/Y02/SYNX/SYNX/JW		Serial No.: 10/764,620			
LIST OF RELEVANT ART CITED BY APPLICANT (Use several sheets if necessary)			Applicants: Michael R. Rice et al.					
			Filing Date: January 26, 2004		Group: 2125			
U.S. Patent Documents								
*Examiner Initial		Document Number	Issue Date	Name	Class	Subclass	Filing Date If Appropriate	
	US-1	6,579,052 B1	06/17/03	Bonora et al.				
	US-2	5,980,183	11/09/99	Fosnight				
	US-3							
	US-4							
	US-5							
	US-6							
	US-7							
	US-8							
	US-9							
	US-10							
	US-11							
Foreign Patent Documents							Translation	
		Document Number	Date	Country	Class	Subclass	Yes	No
	F-1							
	F-2							
	F-3							
	F-4							
	F-5							
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)								
	OT-1							
	OT-2							
	OT-3							
Examiner		Date Considered						
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.								

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